Express Mail" Label No. EL 903200147 US Date of Deposit: July 24, 2001 JUL 2 4 2001 EAt

Attorney Docket No.: 019916-001210US

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Assistant Commission for Patents Washington, DC 20231

TOWNSEND and TOWNSEND and CREW LLP

By: Masic C. Livengood

Maisie C. Livengood

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Empedocles, et al.

Application No.: 09/784,645

Filed: February 15, 2001

For: MICROARRAY METHODS

UTILIZING SEMICONDUCTOR

NANOCRYSTALS

Examiner:

Art Unit:

1645

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the

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Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Scott L. Ausenhus Reg. No. 42,271

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